



#3

219/026
PatentIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kalyana Sundram et al.

Serial No. 08/626,461

Filed: April 2, 1996

For: INCREASING THE HDL LEVEL
AND THE HDL/LDL RATIO IN
HUMAN SERUM BY BALANCING
SATURATED AND
POLYUNSATURATED DIETARY
FATTY ACIDS

Group Art Unit NOT YET ASSIGNED

Examiner NOT YET ASSIGNED

June 11, 1996

SUBMISSION OF MISSING PARTS AND RELATED
APPLICATION PAPERSHonorable Commissioner of Patents and Trademarks
Washington, D.C. 20231RECEIVED
JUN 15 1996
GROUP 130

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(d)**, which was mailed on May 15, 1996, enclosed are:

- ☒ Combined Declaration and Power of Attorney
☐ Recordation Form Cover Sheet (Patents Only)
☐ Assignment of the Invention to _____
☒ A Verified Statement to establish "SMALL ENTITY STATUS" under 37 CFR 1.9(f) and 1.27(c).

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

Dated: June 11, 1996

By: _____

Cara Grifone

1. REQUEST FOR EXTENSION OF TIME:

[] Applicant requests an **Extension of Time** of ___ month to respond; the requisite fee has been calculated as shown below:

		Small Entity	Large Entity
[]	1 month	\$ 55.00	\$ 110.00
[]	2 months	\$ 190.00	\$ 380.00
[]	3 months	\$ 450.00	\$ 900.00
[]	4 months	\$ 700.00	\$ 1400.00
	Total Fee Due	\$	\$

2. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

BASIC FILING FEE		\$750.00
Total Claims:	<u>65</u> - (20) = <u>45</u> x \$ 22 (extra fee charge) =	\$990 .00
Independent Claims:	<u>6</u> - (3) = <u>3</u> x \$ 78 (extra fee charge) =	\$234 .00
Multiple Dependent Claims	<u>x</u> \$250 (if applicable) =	\$250.00
Surcharge under 37 CFR 1.16(e)		\$130.00
TOTAL OF ABOVE CALCULATIONS		\$2354.00
Reduction by 1/2 for Filing by SMALL ENTITY . Note 37 CFR 1.9, 1.27, 1.28. If application, Verified Statement must be attached		\$1177.00
Miscel. Filing Fees (Recordation of Assignment)		\$
TOTAL FEES		\$1177.00

3. METHOD OF PAYMENT OF FEES

X A check in the amount of \$ 1177.00
 _____ Charge Deposit Account No. **12-2475** in the amount of \$ _____. A duplicate copy of this Transmittal is enclosed.

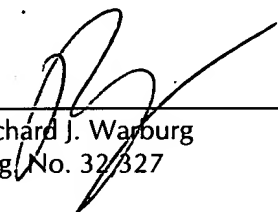
4. AUTHORIZATION TO CHARGE FEES TO DEPOSIT ACCOUNT 12-2475

- X The Commissioner is authorized to charge Counsel's Deposit Account No. 12-2475 for any fees required under 37 CFR 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account 12-2475.

Respectfully submitted,

LYON & LYON

Dated: June 11, 1996

By: 
Richard J. Warburg
Reg. No. 32,327

First Interstate World Center
633 West Fifth Street, Suite 4700
Los Angeles, CA 90071-2066
Telephone: (613) 552-8400
Facsimile: (213) 955-0440



107 201
65 206
737-203
AN

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
--------------------	-------------	-----------------------	------------------------

08/626,461 04/02/96 SUNDRAM K 219/026

0262/0515
LYON & LYON
4250 EXECUTIVE SQUARE SUITE 660
LA JOLLA CA 92037

DATE MAILED: 0000

1302
RECEIVED
GROUP 100
05/15/96

**NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 100 for large entities or \$ 60 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ 2354.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. ☒ The statutory basic filing fee is: ☐ missing ☐ insufficient. Applicant as a ☒ large entity ☐ small entity, must submit \$ 750 to complete the basic filing fee.
2. ☒ Additional claim fees of \$ 1474 as a ☐ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
3. ☒ The oath or declaration:
☐ is missing.
☐ does not cover items omitted at time of execution.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.
4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
5. ☐ The signature(s) to the oath or declaration is/are: ☐ missing; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ _____ under 37 CFR 1.17(k), unless this fee has already been paid.
8. ☐ A \$ _____ processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).
9. ☐ Your filing receipt was mailed in error because your check was returned without payment.
10. ☐ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.
11. ☐ Other.

370 LU 06/28/96 08626461
1 201 375.00 CN
1 205 65.00 CN
1 203 737.00 CN

Direct the response and any questions about this notice to, Attention: Application Processing Division, Special Processing and Correspondence Branch (703) 308-1202.

A copy of this notice MUST be returned with the response.